Altfest®
Personal Wealth Management

AWARDS & RECOGNITION

RECOGNITION FOR ALTFEST PERSONAL WEALTH MANAGEMENT®

Top Rated Advisors in New York, NY
AdvisoryHQ, 2016, 2017, 2018, 2019, 2020, 2021

30 Best New York City Financial Advisors
Expertise.com, 2021

Best Place to Work for Financial Advisers
Investment News, 2019, 2020

Leading Registered Investment Advisors in the U.S.
Financial Advisor, 2006 - 2010, 2012 - 2020, 2021

300 Top Registered Investment Advisors in the Nation
Financial Times, 2017

Best Practices Award
InvestmentNews, 2014

Due Diligence/Research Manager All-Star Team Award
Financial Advisor, 2012, 2013

Top 150 Wealth Managers
*AdvisorOne**, 2011, 2012

Bloomberg's Top 300 Wealth Managers in the Nation
*Wealth Manager**, 2003 - 2008, 2010

BARRON'S TOP 100 INDEPENDENT FINANCIAL ADVISORS IN THE U.S.

InvestmentNews BEST PRACTICES AWARDS

FA FINANCIAL ADVISOR

TOP RATED RANKINGS & REVIEWS BEST FINANCIAL ADVISORS IN NEW YORK, NY AdvisoryHQ WWW.ADVISORYHQ.COM

NAPFA'S 30 Most Influential

*Publication has merged or ceased publication

RECOGNITION OF OUR PRINCIPALS

Top 100 Independent Wealth Advisors in the Nation
Barron's - Lew Altfest, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021

Top Women Wealth Advisors
Forbes, Karen Altfest, 2017, 2018, 2019, 2020, 2021

Best-In-State Wealth Advisors
Forbes - Andrew Altfest, 2018, 2019, 2020, 2021

Best-In-State Wealth Advisors
Forbes - Karen Altfest, 2018, 2019, 2020, 2021

Top 1,200 Financial Advisors in the Country
Barron's - Lew Altfest, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021

America's Top Next-Generation Wealth Advisors
Forbes - Andrew Altfest, 2017, 2018, 2019, 2020

Young Advisors to Watch
Financial Advisor - Andrew Altfest, 2020

Notable Women in Finance
Crain's, Karen Altfest, 2018, 2019

40 Under 40 in the Financial Advisory Industry
InvestmentNews, Andrew Altfest, 2018

America's Top 200 Women Advisors
Forbes - Karen Altfest, 2017

New York SmartCEO Family Business Award
SmartCEO - Karen Altfest, 2016

Top 20 Most Influential Women to Watch in 2016
InvestmentNews - Karen Altfest, 2015

Top 100 Women Financial Advisors
Financial Times - Karen Altfest, 2014

Advisor Hall of Fame Inductee
Research Magazine - Lew Altfest, 2014

The Influencers: Lifetime Achievement Award
Financial Planning - Lew and Karen Altfest, 2013

Best Financial Advisors for Dentists
Dental Practice Report - Lew Altfest, 2011, 2012, 2013

150 Best Financial Advisors for Doctors
Medical Economics - Lew Altfest, 1998–2013

NAPFA'S 30 Most Influential
National Association of Personal Financial Advisors - Lew Altfest, 2013

Community Leadership Award
InvestmentNews and Invest in Others Charitable Foundation - Karen Altfest, 2012

The 50 Distinguished Women in Wealth Management
*Wealth Manager** - Karen Altfest, 2008–2009

Sole recipient of the 2007 Charles R. Schwab IMPACT Award ™ - Lewis Altfest

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Disclosures:

Forbes' Top Women Wealth Advisors developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of advisors with a minimum of seven years of experience & weighing factors like revenue trends, AUM, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Forbes' Best-In-State Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative criteria, mostly gained via phone and in-person due diligence interviews, and quantitative data. Advisors who are considered have a minimum experience of seven years. The algorithm weights factors like revenue trends, assets under management, compliance records, industry experience and those that encompass best practices in their business and in their approach to working with clients. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Barron's America's Top 100 Independent Wealth Advisors is based on assets under management, revenue generated by advisors for their firm, and the quality of the advisors' practices. Investment performance is not an explicit criterion because performance is often a function of each client's appetite for risk. In evaluating advisors, they examine regulatory records, internal company documents, and 100-plus points of data provided by the advisors. There is no fee to participate.

Barron's state-by-state listing of America's Top 1,200 Financial Advisors lists the top advisors in each state, with the number of ranking spots determined by each state's population and wealth. The rankings are based on assets under management, revenue generated by advisors for their firm, and the quality of the advisors' practices. Investment performance is not an explicit criterion because performance is often a function of each client's appetite for risk. In evaluating advisors, they examine regulatory records, internal company documents, and 100-plus points of data provided by the advisors themselves. There is no fee to participate.

Forbes' ranking of America's Top Next-Generation Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of professionals with a minimum of four years relevant experience. Factors reviewed include revenue trends, assets under management, compliance records, industry experience and best practices. Also, interviews are held via telephone and in-person conversations. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Forbes' ranking of America's Top Women Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of wealth advisors with a minimum of seven years of experience and weighing factors like revenue trends, assets under management, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. There is no fee to participate.

Expertise.com selects its list of 30 Best New York City Financial Advisors through a process that includes reviewing public databases and referrals, validating business qualifications and certifications, and assessing service capabilities and professionalism. This award does not evaluate the quality of services provided to clients and is not indicative of past or future performance of clients' accounts. There is no fee to participate.

InvestmentNews' 40 Under 40 recipients are selected after a review of key criteria editorial staff have used to weigh the average 1,000 nominations received each of this project's five years, including accomplishment to date, contribution to the industry, leadership and promise. There is no fee to participate in the ranking.

InvestmentNews' Best Places to Work for Financial Advisors recognition is a survey competition to determine which participants are the best employers. The process is managed by Best Companies Group (BCG) and winners are published in an issue of Investment News. The process includes 2 surveys to gather detailed data about each participating company. BCG conducts the surveys, analyzes the data and determines the winners and rankings.

Financial Advisor's Young Advisors to Watch criteria is based on nominations from influential industry professionals of advisors age 40 and below - if more than one professional nominates a candidate, they are then considered on a number of qualitative factors, including thought leadership, innovative thinking, and more. Assets and accreditation are not factored into this recognition. There is no fee to participate.

Crain's Custom editors choose candidates based on their professional, civic and philanthropic achievements. For Notable Women in Finance, they only considered candidates working in asset management, banking, capital markets or credit cards who worked within the five boroughs of NYC. They often try to choose candidates who greatly contribute to the advancement of women in their workplace or industry as a whole.

The Family Business Awards program honors family businesses that make up the backbone of the American economy. Companies must be family owned and operated, headquartered in New York, and generate a minimum of $2.5 million in annual revenue. There is no fee to participate in the ranking. Honorees are evaluated and chosen by an independent panel of local business leaders and assessed based on their company governance, growth, internal processes and building a legacy.

InvestmentNews' Top 20 Most Influential Women to Watch in 2016 eligibility criteria establishes that a recipient has demonstrated success and leadership in the financial advisory industry, has the ability to effect change in the industry, has exhibited a willingness to share her expertise with others through mentorship and public speaking, has a record of serving as a role model to other female professionals, and has given back to her community. There is no fee to participate in the ranking.

The "30 Most Influential" program recognizes NAPFA members and staff who have made an impact on fee-only financial planning, the group and their peers since NAPFA's founding in 1983.

InvestmentNews' and Invest in Others Charitable Foundation presents the Community Leadership Award to a financial advisor who has shown remarkable charitable leadership and personal commitment.

IMPACT Award Nominations are made by industry professionals and judged by a panel of recognized experts. The IMPACT Awards recognize independent investment advisors who have fundamentally changed the financial services landscape by providing independent advice to affluent investors. There is no fee to participate in the ranking. Established by Schwab Institutional, this award recognizes these contributions by honoring advisors who have advanced the industry through their visionary leadership, operational excellence and technology innovation.

AdvisoryHQ's ranking methodology is based on a wide range of filters including fiduciary duty, level of customized service, and history of innovation. There is no fee to participate.

The *Financial Times* 300 Top Registered Investment Advisers list is independently produced by *Financial Times*. The FT 300 is based on data gathered from RIA firms, regulatory disclosures, and FT's research. The listing reflects each practice's performance in six primary areas: assets under management, asset growth, compliance record, years in existence, credentials and online accessibility. This award does not evaluate the quality of services provided to clients and is not indicative of the practice's future performance. There is no fee to participate.

The Financial Times Top 100 Women Financial Advisors list is independently produced by *Financial Times*. Advisers have to manage at least $200 million in assets under management (AUM) and have 10 years of experience. Qualified advisers were then scored on six attributes: AUM, AUM growth rate, compliance record, experience, industry certifications and online accessibility. There is no fee to participate.

The *Financial Advisor* ranking of Leading Registered Investment Advisors in the US looks at year end assets under management of RIAs, publishing a ranked list by AUM. There is no fee to participate.

Research Magazine inducts Advisor Hall of Fame honorees who pass their screening process, have served a minimum of 20 years in the industry, have acquired substantial assets under management, have demonstrated superior client service and have earned recognition from their peers and the broader community for the honor they reflect on their profession. There is no fee associated with securing this honor.

The *Financial Planning* Influencer Award is presented to a practitioner who has made significant contributions to the financial planning profession. The Lifetime Achievement Award is given for advancing the industry throughout the course of a recipient's career, thus changing financial planning for the better. There is no fee associated with consideration of this honor.

Medical Economics' 150 Best Financial Advisors for Doctors list highlights advisors with expertise in working with doctor clients. The publication reviews peer references, conducts a background check, & reviews data submitted by the advisor. A particular focus is given to fee-only financial advisors due to their objective advice.

Dental Practice Report's Best Financial Advisors for Dentists list reviews recommendations from dentists and conducts a review of the advisor's professional conduct to ensure that there are no disciplinary action against the advisor. Candidates must have 10 years of professional experience and submit a questionnaire that highlights credentials, achievements, and information regarding work with dentists.

The *InvestmentNews* Best Practices awards recognize top-performing firms that are run by people with a long-term vision for their organizations and an ongoing commitment to improving as well as enhancing their businesses. Recipients are all participants in *InvestmentNews*' Research initiatives and chosen based on their rate of growth, profitability and productivity levels. There is no fee to participate in *InvestmentNews*' Research initiatives.

Nominations for an All-Star Research Team are sought from readers of *Financial Advisor* and *Private Wealth Management* magazines as well as from attendees of the Fiduciary Research Manager Summit. Nominations from individuals outside a nominee's firm are weighted far more heavily than those who were nominated by themselves or their partners. There is no fee to participate in the ranking. Frequency of a nomination also played a role in selection. While the top nominees have different investment philosophies and disciplines, they all have a practice or strategy that sets them apart. There is no fee to participate in the nomination process.

Wealth Manager identified the Top 50 Distinguished Women in Wealth Management by reviewing the net positive impact candidate have had on the wealth management profession, particularly through leadership and mentorship. There is no fee to participate in the recognition.

AdvisorOne's (previously known as *Wealth Manager*) Top 150 Wealth Manager ranking, previously known as Bloomberg's Top 300 Wealth Managers ranking, is an independent listing of Registered Investment Advisors. Applicants submit a thorough application, which includes assets under management, firm growth, client retention, and multi-year growth. There is no fee to participate in the ranking.